

CERTIFICATION UNDER 37 CFR 1.10

09/171697

EL163085359US

23 OCT 1998

Express Mail Mailing Number

Date of Deposit

I hereby certify that this paper or fee, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231.

Cederic Rodgers

Name of Person Mailing Application

Signature of Person Mailing Application

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TBK-102-US

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/US98/11312INTERNATIONAL FILING DATE
05 JUN 1998PRIORITY DATE CLAIMED
06 JUN 1997

TITLE OF INVENTION

COMPOUNDS, COMPOSITIONS AND METHOD SUITABLE FOR AMELIORATION OF WITHDRAWAL SYNDROMES
AND WITHDRAWAL-INDUCED BRAIN DAMAGE

APPLICANT(S) FOR DO/EO/US

TABAKOFF, Boris; SNELL, Lawrence; and HOFFMAN, Paula L.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. (X) This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. () This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. (X) This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. () A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. (X) A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. () is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. () has been transmitted by the International Bureau.
 - c. (X) is not required, as the application was filed in the United States Receiving Office (RO/US)
6. () A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. (X) Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. () are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. () have been transmitted by the International Bureau.
 - c. (X) have not been made; however, the time limit for making such amendments has NOT expired.
 - d. () have not been made and will not be made.
8. () A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. (X) An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4)) and **Power of Attorney** (Executed).
10. () A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. () An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. () An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. () A **FIRST** preliminary amendment.
- () A **SECOND** or **SUBSEQUENT** preliminary amendment.

| | | |
|---|---|---------------------------------------|
| US APPLICATION NO. (if known, see 37 CFR 1.51(a)) | INTERNATIONAL APPLICATION NO. PCT/US98/11312 | ATTORNEYS DOCKET NUMBER TBK-102-US |
|---|---|---------------------------------------|

14. ☐ A substitute specification.

15. ☐ A change of power of attorney and/or address letter.

16. ☒ Other items or information:

☒ A copy of Form PCT/DO/EO/901(a)(US VERSION);

☒ Small entity statement (unexecuted);

☒ Copy of International Search Report;

☒ Letter;

☒ Copy of U.S. Patent No. 5, 783,700.

| <p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492(A)(1)-(5)):</p> <p>Search Report has been prepared by the EPO or JPO \$ 930.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) \$ 720.00</p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) . \$ 790.00</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . \$ 1,070.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 98.00</p> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than () 20 () 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <tr> <th style="width: 15%;">Claims</th> <th style="width: 15%;">Number Filed</th> <th style="width: 15%;">Number Extra</th> <th style="width: 15%;">Rate</th> <th style="width: 15%;"></th> <th style="width: 15%;"></th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">23</td> <td style="text-align: center;">3</td> <td style="text-align: center;">X \$ 22.00</td> <td></td> <td style="text-align: right;">\$66.00</td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: center;">3</td> <td style="text-align: center;">0</td> <td style="text-align: center;">X \$ 82.00</td> <td></td> <td style="text-align: right;">\$0.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="text-align: center;">+ \$270.00</td> <td></td> <td></td> </tr> </table> <p style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</p> <p>Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).</p> <p style="text-align: right;">SUBTOTAL =</p> <p>Processing fee of \$130.00 for furnishing the English translation later than () 20 () 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p> <p style="text-align: right;">TOTAL NATIONAL FEE =</p> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) and recordal fee (\$40)</p> <p style="text-align: right;">TOTAL FEES ENCLOSED =</p> | Claims | Number Filed | Number Extra | Rate | | | Total Claims | 23 | 3 | X \$ 22.00 | | \$66.00 | Independent Claims | 3 | 0 | X \$ 82.00 | | \$0.00 | MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$270.00 | | | CALCULATIONS PTO USE ONLY | |
|---|-----------------------|--------------|--------------|------|---------|--|--------------|----|---|------------|--|---------|--------------------|---|---|------------|--|--------|---|--|--|------------|--|--|----------------------------------|--|
| Claims | Number Filed | Number Extra | Rate | | | | | | | | | | | | | | | | | | | | | | | |
| Total Claims | 23 | 3 | X \$ 22.00 | | \$66.00 | | | | | | | | | | | | | | | | | | | | | |
| Independent Claims | 3 | 0 | X \$ 82.00 | | \$0.00 | | | | | | | | | | | | | | | | | | | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$270.00 | | | | | | | | | | | | | | | | | | | | | | | |
| | \$790.00 | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$0.00 | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$66.00 | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$0.00 | | | | | | | | | | | | | | | | | | | | | | | | | |
| | + \$270.00 | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$856.00 | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$428.00 | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$428.00 | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | \$428.00 | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | \$428.00 | | | | | | | | | | | | | | | | | | | | | | | | | |
| | \$428.00 | | | | | | | | | | | | | | | | | | | | | | | | | |
| | Amount to be Refunded | \$ | | | | | | | | | | | | | | | | | | | | | | | | |
| | Charged | \$ | | | | | | | | | | | | | | | | | | | | | | | | |

- a. (X) A check in the amount of \$428.00 to cover the above fees is enclosed.
- b. () Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. (X) The Commissioner is hereby authorized to charge any additional filing fees which may be required, or credit any overpayment to Deposit Account No. 15-0508. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:


SIGNATURE

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Talivaldis Cepuritis

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20,818

REGISTRATION NO.

360 Rec'd PCT/PTO 23 OCT 1998

Attorney Docket
No.: TBK-102-US

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